



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sloan et al.

Docket No.: 60021-376002

Serial No.: 09/976,443

Group Art Unit: 2165

Filing Date: October 12, 2001

Examiner: Unassigned

For: **NETWORK BASED INTERVIEWING AND PROCESSING SYSTEM**

Assistant Commissioner of Patents
Washington, D.C. 20231

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service First Mail service on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231, on December 13, 2002.

Ann Pommier
Ann Pommier

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PRELIMINARY AMENDMENT

DEC 20 2002

Sir:

Technology Center 2100

Please amend the Application and consider the remarks as hereafter provided.

This is a clean copy of the specification and claims. Attached hereto is a marked-up version of the changes made to the specification and claims. The attached pages are captioned "Version with Markings to Show Changes Made."

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In the Specification:

Please amend the specification beginning on page 1, line 16 as follows:

GROUP 3600

*Sub
Spec C*

FIELD OF INVENTION

The present invention relates generally to computerized information systems and more particularly to web-enabled computer implemented financial modeling systems.

BACKGROUND OF THE INVENTION

Financially prudent individuals help develop financial plans that will aid them in achieving their financial goals. More recently, some individuals have increasingly relied upon computer-based systems that organize their financial assets and liabilities and further provide them with a summary of their financial health. However, these systems tend to focus on the administrative aspects of financial planning without enabling the user to make reasoned choices

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